



Prospective Client

GLMX Europe Limited ("GLMX", "us", "we" or "the Firm"), as a Data Controller, have an obligation UK GDPR to inform individual Data Subjects of their rights in relation to data held about them.

(i) What information is being collected

For the purposes mentioned in the following paragraph "Why it is being collected" we collect the following categories of personal data:

- Name
- Employer
- Work Contact details (telephone number, email address and postal address)

(ii) Why it is being collected

All the data you provide to us will be processed subject to the restrictions and for the purposes pointed out in this notice, namely:

- a. For legitimate business purposes to advise you through e-mail, phone call, post, in the framework of ordinary commercial relationship, about other products or services similar to the subject matter of this contract and that we think will be of interest to you. (legal basis for processing as per lawfulness condition is our legitimate interests as stated at art. 6 (F) of the General Data Protection Regulation 2016/679).
- b. From time to time we would like to contact you about events or conferences or seminars organised/sponsored by us or about our new services/products reaching you by email, phone call. (legal basis for processing as per lawfulness condition is your consent as stated at art. 6 (a) of the General Data Protection Regulation 2016/679)

The provision of data is discretionary however a lack of data can be an impediment to the exchange of information necessary for the above purposes.

(iii) Who is collecting data

Data will be collected by GLMX (the "Data Controller"), with business address 145 City Road, London EC1V1AZ, United Kingdom. Telephone +44 20 3637 9678

(iv) How data is processed

Personal data is processed both manually and electronically in accordance with the above-mentioned purposes and, in any case, in order to guarantee data security and data confidentiality in compliance with current regulations. Our Employees and Third Party processor Global Liquid Markets, LLC can access your data. Our Employees and Third Party processors are appropriately designated and trained to process data only according to the instructions we provide them. All the data will be processed for the duration of this contract and subsequently for the fulfillment of legal obligations. For Data Retention periods refer to (v).

(v) How data is stored and with whom it could be shared

Data is recorded on IT systems. Basic personal data (Name, Work Contact details) will be stored on our CRM system which is accessible to Sales, Client Services & Management teams for the above-mentioned purposes.

Data may reside on shared IT systems with one of our group companies, who will be able to access the data for the above-mentioned purposes.

Your Data will be subject to cross-border transfers to the USA as permitted under standard data protection clauses (Art. 46.2(c)).

We may disclose your Personal Data to authorised service providers who perform services for us (including KYC, cloud services). Our contracts with our service providers include commitments that they agree to limit their use of Personal Data to our specific instructions and to comply with privacy and security standards.

Without prejudice to any communications made to comply with legal or contractual obligations, data may also be disclosed to external parties as required by laws or regulations (e.g. court, tribunal, Regulatory Authority or Governmental Entity).

When we share your information with other entities within our group or contracted Third Party companies we take all reasonable steps to ensure that your information and privacy are protected in line with the applicable legal obligations.

Data will be stored for a period of 10 years from the date of last successful contact or the end of the commercial relationship unless consent is withdrawn or an erasure request is made.

(vi) Your rights and how to exercise them

We remind you that you have the right to request from us access to and rectification or erasure of personal data, or a restriction of processing, or to object to processing of data concerning you.

You also have the right to receive your personal data that you provided to us in a structured, commonly used and machine-readable format and have the right to transmit such data to another controller without hindrance from us. In exercising your right to data portability, you have the right to have your personal data transmitted directly from a controller to another, where technically feasible.

You can contact us to exercise your rights with the contact details indicated above in (iii) or sending an email to privacy@glmx.com

If you consider that the processing of your personal data infringes applicable data protection laws, as data subject you have the right, without prejudice to any other administrative or judicial remedy, to lodge a complaint with a supervisory authority, in particular, in the Member State of your habitual residence, place of work or place of the alleged infringement.

The Information Commissioner's Office (ICO) in the UK can be contacted via their hotline on 0303 123 1113.